DEPLOYMENT GUIDE

Securing Industrial Control Systems with Fortinet

IEC-62443 Compliance End-to-End Security

Securing Industrial Control Systems with Fortinet

Executive Summary

In recent years, the Industrial Control Systems (ICS) upon which much of our critical infrastructure and manufacturing industry depends, have come under increasingly frequent and sophisticated cyber-attacks.

In part, this is a consequence of the inevitable convergence of Operational Technology (OT) with Information Technology (IT). As in all spheres of computing, the advantages of increased network connectivity through open standards such as Ethernet and TCP/IP, as well as the cost savings derived from replacing dedicated proprietary equipment with off-the-shelf hardware and software, come at the cost of increased vulnerability.

However, while the impact of a security breach on most IT systems is limited to financial loss, attacks on ICS have the added potential to destroy equipment, threaten national security, and even endanger human life.

With this critical distinction also comes a troubling difference in the profiles and motivations of potential attackers. While the lion's share of modern cybercrime is motivated by financial reward, ICS have recently become attractive targets for terrorism and cyber-warfare. As a consequence, the financial and human resources available to its perpetrators can be an order of magnitude greater than those of conventional cybercriminals. This is especially true of highly targeted state-sponsored attacks, of which STUXNET (first appearing back in 2010) is considered one of the most sophisticated examples so far.

The purpose of this solution guide is to show how, in spite of these and many other challenges, Fortinet's Solutions can help to ensure the safety and reliability of ICS, and in particular those employing Supervisory Control and Data Acquisition (SCADA).

Potential Vulnerabilities

Due to their unique history and conception, separate from the evolving world of IT, ICS present a number of unique challenges:

- Inherent lack of security: Much of the technology underpinning ICS, while extremely robust and reliable, was never designed to be accessible from remote networks, and so security relied instead upon restricted physical access, and the relative obscurity of its components (e.g., RTUs, PLCs, etc.) and their (mostly serial) communications protocols (e.g., Modbus, RP-570, PROFIBUS, Conitel, etc.).
- The "air-gap" fallacy: The superficially seductive idea of creating an "air-gap" between the ICS and all other networks is no longer realistic for the vast majority of real-life applications. As more and more of today's ICS components rely on software updates and periodic patching, it is now virtually impossible to avoid at least occasional data transfer into the ICS. Even in the absence of permanent network connections (or those employing only unidirectional devices such as optical data diodes), "air-gapped" networks are still vulnerable to the connection of infected PCs or storage devices such as USB drives (one of the infection vectors of STUXNET).
- Expanding attack surface: As proprietary, dedicated solutions are replaced with off-the-shelf hardware and software, employing open standards such as Ethernet, TCP/IP, and Wi-Fi, the number of potential vulnerabilities increases exponentially. The recent proliferation of mobile devices together with trends such as BYOD only exacerbate the problem further.
- Continued use of outdated hardware and software operating systems (sometimes pre-dating even the very notion of cybersecurity) which may be incompatible with standard modern defenses such as antivirus software.
- Infrequent updates and patching due to the complexity, cost, and potential service disruption entailed. It is not always practical, for example, to interrupt a plant's operations whenever one of its operational servers needs patching.

- Large numbers of simple, unsecured telemetry devices such as sensors and pressure gauges, whose data, if manipulated, could nevertheless carry huge consequences for the safety and reliability of the overall system.
- Use of embedded software written with scant adherence to the security techniques and best practices of modern coding.
- Insufficient regulation of component manufacture and supply chain, introducing the possibility of equipment compromise, even prior to installation.
- Limited access control / permission management: As previously isolated or closed systems have been interconnected, the controls imposed on exactly who can access what, have not always kept pace with IT security best practices.
- Poor network segmentation: The standard security practice of partitioning networks into functional segments which, while still interconnected, nevertheless limit the data and applications that can overlap from one segment to another, is still underutilized within ICS as a whole.
- Lack of security expertise among the engineers who have traditionally designed and maintained the systems.

Addressing the Problem

The good news is that in recent years, the inherent problems and vulnerabilities of ICS have become more widely recognized, and the first steps have now been taken to rectify them.

One way this is occurring is through the help of government bodies such as the The Industrial Control Systems Cyber Emergency Response Team (ICS-CERT) in the US, and the Centre for Protection of National Infrastructure (CPNI) in the UK, both of which publish advice and guidance on security best practices for ICS.

Another way is through the definition of common standards such as ISA/IEC-62443 (formerly ISA-99). Created by the International Society for Automation (ISA) as ISA-99 and later renumbered 62443 to align with the corresponding International Electro-Technical Commission (IEC) standards, these documents outline a comprehensive framework for the design, planning, integration, and management of secure ICS.

Although still a work in progress, the standard provides practical guidance, such as the model of “zones, conduits, boundaries, and security levels,” and addresses the most pressing deficiencies of ICS network security.

Implementation of the zones and conduits model, which is recommended by both ICS-CERT and CPNI, greatly reduces the risk of intrusion, as well as the potential impact should such a breach occur.

The basic strategy outlined in the standard, is to segment the network into a number of functional “zones” (which may also include sub-zones), and then to clearly define the “conduits” as all essential data and applications allowed to cross from one zone to another. Each zone is then assigned a security level from 0 to 5, with 0 representing the highest level of security and 5 the lowest. Strict access controls can then be imposed limiting access to each zone and conduit based on the authenticated identity of the user or device.

This is a strategy that maps extremely well to the range of capabilities delivered by Fortinet’s Firewall Solution, and in particular the Internal Segmentation Firewall (ISFW).

Securing ICS/SCADA with Fortinet

As with any effective security implementation, the first step is to fully assess the business and operational risks and to define an appropriate strategy commensurate with those risks. A major part of this will include defining the zones, conduits, boundaries, and security levels outlined in IEC-62443.

This will typically look something like the network represented in Figure 1.

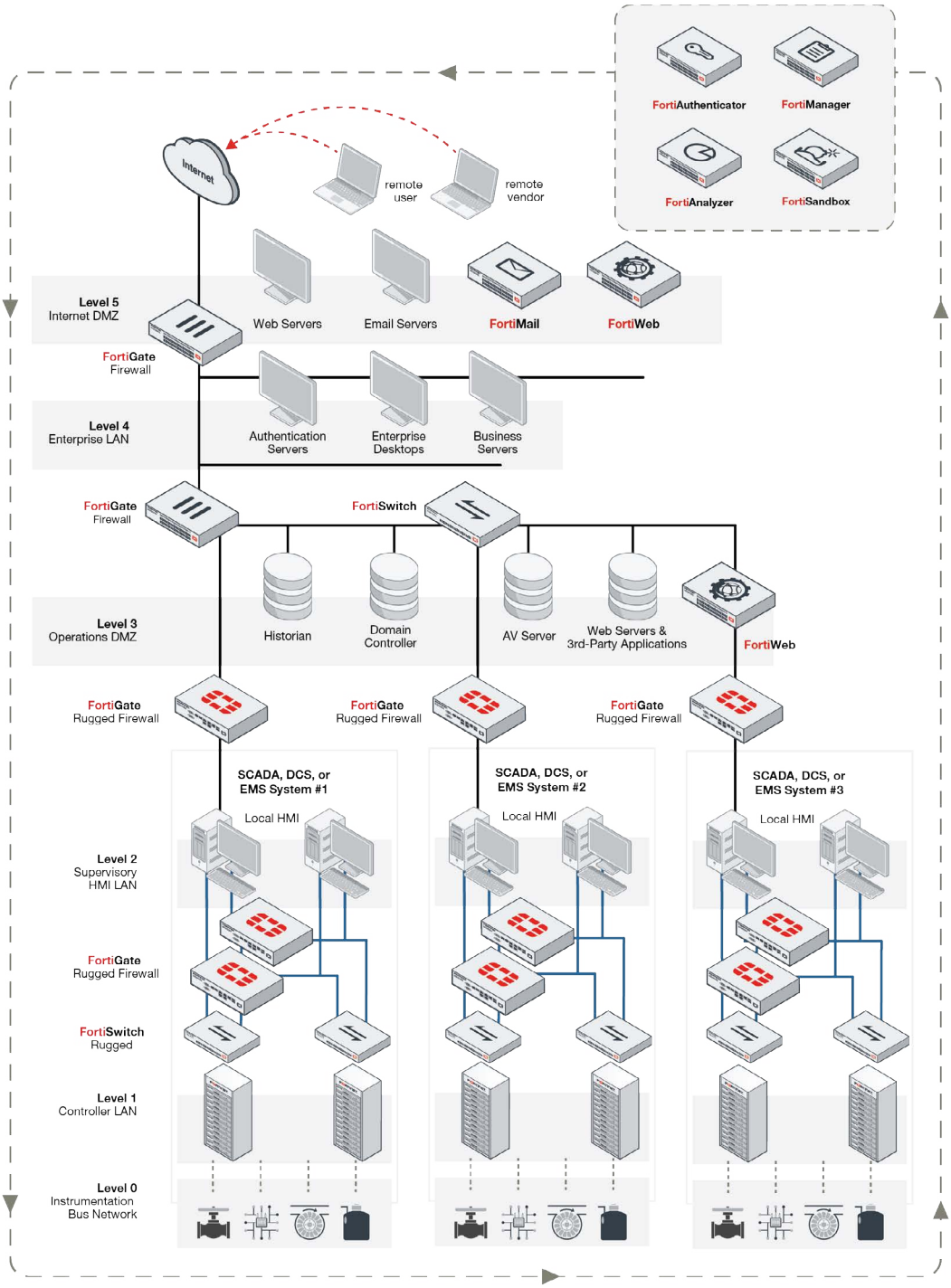


Figure 1: Security levels as depicted in the ISA 99 Standard.

Comprehensive Multi-layered Security

With its multi-layered defense, high-availability design, and optional rugged form-factor, the FortiGate range of security appliances is the perfect choice for implementing the zones and conduits model, no matter how critical the ICS infrastructure, or how harsh the environment.

Using the **Internal Segmentation Firewall** (ISFW) deployment mode, which combines functional and physical segmentation, the FortiGate combines high-performance, next-generation firewall functionality and robust two-factor authentication, with antivirus, intrusion prevention, URL filtering, and application control. With a wide selection of high-speed LAN interfaces and the hardware acceleration derived from its

custom ASIC design, the FortiGate has been proven to deliver inter-zone performance in excess of 100 Gbps. Using the granular security policies available with FortiGate's ISFW deployment mode, ICS zones and conduits can be enforced based on criteria such as user identity, application, location, and device type. In this way, the FortiGate can effectively lock down each zone, ensuring that only legitimate, prescribed traffic, originating from authorized endpoints can pass from one zone to another. The embedded security of these highly flexible and scalable products comes from a combination of their operating system, FortiOS, the FortiAuthenticator and FortiToken authentication solutions, and the automated, 24/7, self-learning, continuous threat response resources of FortiGuard. However, for a thorough analysis of ICS networks, their processes and protocols, a more proactive approach is required.

Taking the ICS Security to the Next Level with Fortinet and Nozomi Networks Joint Solution

Fortinet and Nozomi Networks are collaborating to provide ICS environments with a comprehensive security solution. The solution combines Nozomi Networks' SCADAguardian and its deep understanding of ICS networks, protocols, and device behavior with Fortinet's extensive network security expertise through its FortiGate. SCADAguardian's non-intrusive ICS protocol monitoring capabilities profile the behavior of industrial devices and detect anomalies and critical states in the ICS network. It works closely with FortiGate to respond and provide a secure gateway between the OT and IT networks as shown in Figure 2. Designed to minimize system downtime and limit data loss, the Fortinet-Nozomi Networks solution optimizes productivity and business continuity in industries reliant on ICS networks.

How do we do this? By placing a Nozomi Networks SCADAguardian appliance in the OT network, it will passively monitor the network traffic creating an internal representation of the entire network, its nodes, and the state and behavior of each device in the network. By doing so, the solution provides advanced visibility, monitoring, alerting, reporting, troubleshooting, and forensic capabilities. If an anomaly or suspicious behavior is detected, an alarm is generated and sent to security operators and network administrators. At the same time, SCADAguardian is capable of automatically modifying the right policy in FortiGate to block the suspicious traffic. The proactive Fortinet-Nozomi Networks solution provides sophisticated detection of ICS security issues with proactive threat remediation and containment within an industrial environment.

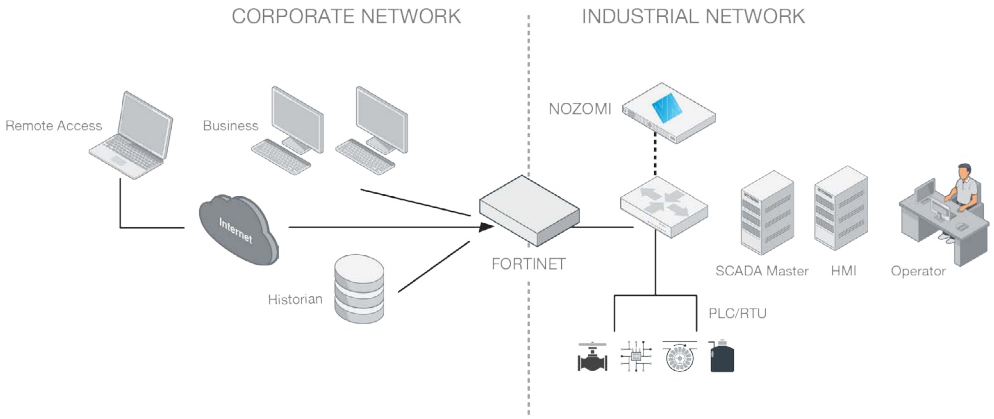


Figure 2: Safe gateway between the OT and IT networks.

Centralized Management, Logging and Reporting

Management of the infrastructure, which is consolidated through the FortiGate, is accomplished via FortiManager and FortiAnalyzer, combining centralized configuration with reporting, visibility, event logging, and analysis, to create a comprehensive, real-time network monitoring and control center.

Specific ICS/SCADA-AWARE Functionality

Using predefined and continually updated signatures, the FortiGate can identify and police most of the common ICS / SCADA protocols (see list below) for the purpose of defining conduits.

- BACnet
- DLMS/COSEM
- DNP3
- EtherCAT
- ICCP
- IEC-60870.5.104
- Modbus/TCP
- OPC
- PROFINET

This is done through the configuration of security policies in which multiple services, such as IPS, antivirus, and application control can be mapped to each protocol.

In parallel to this specific protocol support, additional vulnerability protection is provided for applications and devices from the major ICS manufacturers (see list below) through a complementary set of signatures.

- ABB
- Advantech
- Elcom
- GE
- Rockwell
- Schneider Electric
- Siemens
- Vedeer-Root
- Yokogawa

This provides a more granular application-level control of the traffic between zones and enables the FortiGate to detect attempted exploits of known vulnerabilities relating to any of the supported vendors' solutions.

With the deployment of the integrated Fortinet-Nozomi solution, the following additional protocols are supported:

- MMS
- Aspentech Cim-IO
- IEC 61850
- PI-Connect
- Beckhoff ADS
- FOUNDATION Fieldbus
- EtherNet/IP
- CEI 79-5/2-3
- Honeywell

Moreover, the solution is able to learn the behavior of all other protocols as well as define custom ones.

Zone Access Control with FortiAuthenticator and FortiToken

Applying granular control of the access to each zone and conduit based on both user and device is the role of FortiAuthenticator's integration with FortiGate and directory services. FortiAuthenticator user identity management appliances provide two-factor authentication, RADIUS, LDAP, and 802.1X wireless authentication, certificate management, and single sign-on. FortiAuthenticator is compatible with and complements the FortiToken range of two-factor authentication tokens for secure access, enabling authentication with multiple FortiGate network security appliances and third-party devices. Together, FortiAuthenticator and FortiToken deliver scalable, cost-effective, secure authentication within the entire network infrastructure.

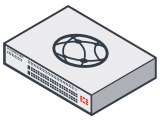
Securing the Historian with FortiDB

All central databases present an attractive target for cyber-attacks, but those underpinning ICS are especially vulnerable since, due to their history, security was not inherent in their deployment and scripting. To help assess the current security level, address any vulnerabilities, and monitor all subsequent access for suspicious activity, FortiDB provides a flexible policy framework to secure these critical resources.

Provide Secure Ethernet Access with FortiSwitch and FortiSwitchrugged

The need for secure Ethernet access may exceed the number of ports available in the chosen model of FortiGate. In this case the FortiSwitch or FortiSwitchRugged can augment your existing ports and integrate seamlessly into your FortiGate environment via FortiLink. With FortiLink the FortiSwitch or FortiSwitchRugged are auto discovered by the FortiGate and can quickly and easily be provisioned with the same security polices as the ports hardwired in the FortiGate.

There are various port density, speed, and uplink configurations available and they can be stacked without regard to model or series. These switches can also support Mlag for non blocking dual link support sometimes key for redundancy in SCADA environments.



Securing the Web-based HMI with FortiWeb

While the cost and usability benefits of controlling ICS through a web-based console are self-evident, the impact of intrusion to the back end is clearly much greater within this environment than for most other web servers.

Using advanced techniques to provide bidirectional protection against malicious sources, application layer DoS Attacks, and sophisticated threats like SQL injection and cross-site scripting, FortiWeb adds another crucial layer to your ICS defenses.

Securing the #1 Attack Vector with FortiMail

Although not specific to ICS or its components, unsecured email – especially when combined with social engineering – remains the #1 attack vector for the majority of known threats.

Protecting against inbound attacks, including advanced malware, as well as outbound threats and data loss, FortiMail provides a single solution combining anti-spam, anti-phishing, anti-malware, sandboxing, data loss prevention (DLP), identity-based encryption (IBE), and message archiving.

Responding to Advanced Persistent Threats

Most of the discussion so far has focused on the detection and blocking of attacks through the use of signatures, yet this approach relies on having encountered some close variant of the threat before. With the extensive threat response resources of FortiGuard continually monitoring thousands of live customer networks around the world, this is extremely likely, but with the stakes for ICS intrusion so high, it is essential to also prepare for attacks which have yet to be encountered.

In such a scenario, it becomes crucial that the intrusion is detected rapidly, its propagation limited, and its impact minimized. Here, a critical component of Fortinet's Advanced Persistent Threat Protection Framework is FortiSandbox, which is designed to detect and analyze advanced attacks that might bypass more traditional signature-based defenses.

Government Accreditation and Assurance

Compliant with US Federal Government standard FIPS 140-2 level 2 for Cryptographic Modules, and International Common Criteria certification EAL 4+, Fortinet delivers robust, field-proven, protection that has been evaluated and tested by numerous third-party organizations.

Summary

Adequately securing ICS presents many significant challenges, some of which clearly go beyond the scope of this solution guide. Yet by following the best practices set forth by ICS-CERT / CPNI, and deploying government accredited solutions such as those of the Fortinet portfolio outlined above, the probability of a successful cyber-attack, as well as its likely impact on the ICS, can be greatly reduced.

With dedicated support for the ICS / SCADA environment as well as its proven success as a leading provider of multi-layered enterprise security, Fortinet is uniquely positioned to help our industrial customers overcome their security challenges and protect the safety and reliability of our most critical infrastructure and services.

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September 25, 2019 5:06 AM

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